

Office of the City Controller
Audit Division Project Status
September 30, 2020

Report/Project on 2020 Audit Plan	Source of Proposed Engagement	FY2020 Actual	Report/Project	Type of Audit	Completion Status
1	FY2021 Audit Plan		City-Wide Civilian Overtime	Performance / Compliance	Work has not begun
2	FY2021 Audit Plan		GSD - Building Security	Performance / Compliance	Work has not begun
3	FY2021 Audit Plan		HEC - 911 Operations	Performance / Contract Compliance	Work has not begun
4	FY2021 Audit Plan		HCDD - Disaster Recovery Program	Performance / Compliance	Work has not begun
5	FY2021 Audit Plan	✓	HAS - IAH Terminal Redevelopment Program - PMO	Performance / Contract Compliance	Planning Phase
6	FY2021 Audit Plan		HPD Property/Evidence Room	Performance / Compliance	Work has not begun
7	FY2021 Audit Plan		HITS - IT Security	Performance / Compliance	Work has not begun
8	FY2021 Audit Plan		OBO - Contract Compliance	Performance / Compliance	Work has not begun
Carryover from FY2019					
9	FY2020 Audit Plan	✓	City-Wide Take Home Vehicles	Performance / Process Review	Planning Phase
10	FY2020 Audit Plan	✓	FIN - Procurement Process	Performance / Compliance	Fieldwork Phase
11	FY2019 Audit Plan	✓	GSD Comfort Systems USA	Performance / Contract Compliance	Fieldwork Phase
12	FY2020 Audit Plan	✓	HCDD - Homeowner Assistance Program	Performance / Compliance	Planning Phase
13	FY2020 Audit Plan	✓	HAS - IAH Terminal Redevelopment Program	Performance / Compliance	Reporting issued as 2021-04
14	FY2020 Audit Plan	✓	HPD Asset Forfeiture	Performance / Compliance	Report issued as 2021-02
15	FY2020 Audit Plan	✓	HPW - Permitting	Performance / Compliance	Planning Phase
Alternative/ Optional					
16	FY2021 Audit Plan		ARA - Payroll Application Security Controls Review	Performance / Compliance	Open
17	FY2021 Audit Plan		GSD - Electrical Services Contract Audit	Performance / Compliance	Open
18	FY2021 Audit Plan		HASDC - Process Review	Process Review	Open
19	FY2021 Audit Plan		HHD - Public Health Services Process Review	Process Review	Open
Recurring & Special Projects					
20	Audit Plan		City-Wide Harvey Recovery		Open
21	Audit Plan / Required		FY 2021 Enterprise Risk Assessment Update	Required	Open
22	Audit Plan / Required	✓	Follow-up Audits	Performance / Required	HPW - Report issued as 2021-03, HITS - Planning Phase, FIN - Reporting phase
23	Audit Plan / Required	✓	Fraud Consideration/Reporting/Hotline	Required	Ongoing
24	Audit Plan / Required	✓	Quality Assurance	Required	Ongoing